

State of Alabama
Department of Mental Health
Behavioral Health Assessment System (ABHAS)



Import Specifications

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Alabama Behavioral Assessment System (ABHAS) Import Specifications

Introduction

The import feature of the ABHAS application allows a Provider Organization to submit assessment data in a batch-oriented fashion using a plain text data file in XML format. A plain text XML schema file (provided by the ADMH) will be used to describe and validate the format and content of the assessment data file. **If the imported assessment file doesn't validate against the schema file the imported file will be rejected.** The Provider Organization should validate their upload files with the schema before submitting them to the ABHAS application as a first step to ensure data integrity.

Batches of assessments submitted through the ABHAS web application should be kept small and sent frequently. A suggestion is no less than once a month. **If any assessments fail validation during the upload process then the entire batch will be rejected.**

Users assigned to the "Data Management" role during user registration will have access to the Import functionality of the ABHAS application once they have submitted test data to ADMH and have been cleared for import processing.

Assessment Import File Requirements

The "**Assessment Date**" must be prior to the expiration date of the clinician's CANS certification. Only one assessment is allowed to occur per client on a given date. Future dates are not allowed.

The "**Case Number**" is considered unique within the Provider Organization, and along with "**Provider Number**" will uniquely identify the client in the ABHAS application. If the "Provider Number" and "Case Number" of an uploaded assessment record match an existing client record in the ABHAS system, the client demographic portion of the assessment record will be used to update ABHAS client record.

The "**Staff Number**" must be the clinician's internal provider staff ID as registered with the ADMH Office of Children's Services.

The "**Instrument Number**" field identifies the instrument used to perform the assessment. The value must match an existing instrument number assigned by the ADMH. See the "Instrument Question Listing" documents for valid instrument numbers.

The "**Question Number**" field identifies the question that was answered using the given instrument. The value must match an existing question number assigned by the ADMH. See the "Instrument Question Listing" documents for valid question numbers.

The "**Question Answer**" field indicates the answer to the corresponding question. The value must be within the range of existing possible answers for the given question. See the "Instrument Question Listing" documents for a list of possible answers to questions for the given instrument.

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The “**Provider Assessment ID**” field, if submitted, can be used to identify the assessment for reporting purposes.

Assessment Import processing requirements

Assessments are imported into the ABHAS application in the order that they are found in the import file.

All assessments must be submitted as complete assessments. Completed assessments are considered “closed”, so no modifications can be made to an assessment after it has been imported into the ABHAS application. **Only one assessment per client per day is allowed. Duplicate assessments (more that one assessment per client / per day) will be rejected.**

All assessments have core modules with questions that, unless otherwise specified by the instrument instructions, are required to be answered or the assessment will be rejected. Some core module questions trigger the requirement of an extension module, which, in turn, are required to be answered as well.

Some modules have “NA” as a possible answer choice that covers all the questions in that module. In these modules, provide the NA value for all the questions in the module. Failure to do so will result in an incomplete assessment that will be rejected.

Some modules may have a section of questions that are optional, depending on the requirements of the module. In these cases the question/answer pairs should not be included in the assessment record. **If optional question/answer pairs are uploaded and they are not required by the module, the assessment will be rejected.**

If the clinician who performed the assessment wasn't certified on the date of the assessment, the assessment will be rejected.

Instrument-specific Edits

These edits must pass during the import process or the assessment will be rejected.

CANS 5-17

Clients younger than 4 years or older than 22 years will cause the assessment to be rejected.

Trauma (Characteristics of the Trauma Experience) Module

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If question 63 (Sexual Abuse) is answered > 0 then the following questions must be answered, otherwise they should be sent in with an answer value of blank:

72 - Emotional closeness to perpetrator

73 - Frequency

74 - Duration

75 - Force

76 - Reaction to Disclosure

Transition to Adulthood Module

If the client is under 17 all questions must be answered NA.

If the client is 17 or older all questions must be answered 0 - 3.

ECCANS

Clients older than 4 years 11 months will cause the assessment to be rejected.

Trauma Module

If question 32 (Sexual Abuse) is answered > 0 then the following questions must be answered, otherwise they should be sent in with an answer value of blank:

68 - Emotional Closeness to Perpetrator

69 - Frequency

70 - Duration

71 - Force

72 - Reaction to Disclosure

See the “**ABHAS Data Dictionary**” for more field-level information not covered in this document.