

**UNDERSTANDING  
THE BASIC CONCEPT  
AND  
THEORY OF ASAM**

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■ Sponsored by:

Alabama Department of Mental Health  
Substance Abuse Services Division

Southern Coast ATTC

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# **ASSESSING RISK FOR PATIENT PLACEMENT**

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## **Module 4**

- **Assessing Risk for Patient Placement**
- **What is Risk Rating**
- **The Risk Rating Scale using the  
Multidimensional ASAM Treatment  
Model**

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## **WHAT IS A RISK RATING?**

- RISK IS MULTIDIMENSIONAL AND BIO-PSYCHOSOCIAL IN NATURE
- RISK RELATES TO THE INDIVIDUAL'S HISTORY
- RISK IS EVALUATED IN TERMS OF THE INDIVIDUAL'S CURRENT STATUS
- RISK INVOLVES ASSESSMENT FROM A NON-PROBLEMATIC BASELINE OBSERVATION TO AN ESCALATION OF PROBLEMS
- RISK ASSESSMENT MUST INTEGRATE HISTORY, ONGOING LIFE SITUATIONS, AND CURRENT PRESENTATION
- RISK ASSESSMENT'S ARE EVALUATED FOR EACH OF THE 6 ASAM DIMENSIONS

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## **THE RISK RATING SCALE**

### **A RISK RATING OF 0:**

INDICATES FULL FUNCTIONING IN THAT DIMENSION

### **A RISK RATING BETWEEN: 1-4:**

INDICATES THE INDIVIDUAL'S VARIOUS LEVELS OF FUNCTIONING/PROBLEMS IN THAT DIMENSION

(A HIGHER NUMBER (RISK RATING) INDICATES THE SEVERITY OF PROBLEMS (RISK) FOR EACH DIMENSION)

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## **RISK RATING**

WHEN ASSESSING AN INDIVIDUAL'S RISK POTENTIAL:

- DIMENSION'S 1, AND 2 ONLY APPLY TO SUBSTANCE ABUSE ISSUES
- DIMENSION 3 USES RISK DOMAINS TO ASSESS RISK
- DIMENSION'S 4, 5 AND 6 ADDRESS RISK RATINGS FOR BOTH SUBSTANCE ABUSE AND MENTAL HEALTH ISSUES

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## **DIMENSION 1** **ACUTE INTOXICATION/WITHDRAWAL**

RISK RATING – 0

THE INDIVIDUAL IS FULLY FUNCTIONING AND DEMONSTRATES GOOD ABILITY TO TOLERATE AND COPE WITH WITHDRAWAL DISCOMFORT

OR

THERE ARE NO SIGNS OR SYMPTOMS OF INTOXICATION OR WITHDRAWAL, OR THE SYMPTOMS ARE RESOLVING

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**DIMENSION 1**  
**ACUTE INTOXICATION/WITHDRAWAL**

**RISK RATING – 1 (Level I-D)**

**THE INDIVIDUAL DEMONSTRATES ADEQUATE ABILITY TO TOLERATE AND COPE WITH WITHDRAWAL DISCOMFORT (MINIMAL RISK OF SEVERE WITHDRAWAL)**

**RISK RATING – 2 (Level II-D)**

**THE INDIVIDUAL HAS SOME DIFFICULTY TOLERATING AND COPING WITH WITHDRAWAL DISCOMFORT. INTOXICATION MAY BE SEVERE, BUT THE INDIVIDUAL RESPONDS TO SUPPORT AND TREATMENT, THEREFORE, THERE IS NO DANGER TO SELF OR OTHERS**

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**DIMENSION 1**  
**ACUTE INTOXICATION/WITHDRAWAL**

**RISK RATING – 3 (Level III.2 D or Level III.7 D)**

**THE INDIVIDUAL HAS POOR ABILITY TO TOLERATE AND COPE WITH WITHDRAWAL DISCOMFORT. SEVERE SIGNS AND SYMPTOMS OF INTOXICATION INDICATE THERE MAY A THREAT TO SELF OR OTHERS. INTOXICATION HAS NOT BEEN MANAGED AT LOWER LEVELS OF CARE**

**RISK RATING - 4 (Level IV D)**

**THE INDIVIDUAL IS INCAPACITATED, WITH SEVERE SIGNS OF WITHDRAWAL AND THERE IS DANGER OF SEIZURES OR OTHER LIFE THREATENING CONDITIONS**

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**OPIOID MAINTENANCE THERAPY**  
**(OMT)**

**RISK RATING 0 –**  
THE DOSE IS WELL STABILIZED – NO WITHDRAWAL OR INTOXICATION

**RISK RATING 1 –**  
THE DOSE IS INADEQUATELY STABILIZED AND THERE ARE MILD SYMPTOMS OF WITHDRAWAL, OR OCCASIONAL USE OF OPIOID'S OR OTHER DRUGS TO COMPENSATE FOR SYMPTOMS

**RISK RATING 2 –**  
THE DOSE IS INADEQUATELY STABILIZED AND THERE ARE MODERATE SYMPTOMS OF WITHDRAWAL, OR FREQUENT USE OF OPIOID'S OR OTHER DRUGS TO COMPENSATE FOR SYMPTOMS

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**OPIOID MAINTENANCE THERAPY**  
**(OMT)**

**RISK RATING 3 –**  
THE DOSE IS INADEQUATELY STABILIZED AND THERE ARE SEVERE SYMPTOMS OF WITHDRAWAL, OR FREQUENT, SIGNIFICANT AND ONGOING USE OF OPIOID'S OR OTHER DRUGS TO COMPENSATE FOR SYMPTOMS

**RISK RATING 4 –**  
THE DOSE IS INADEQUATELY STABILIZED AND THERE ARE REPEATED, SIGNIFICANT CONCURRENT USE OF OPIATES OR OTHER DRUGS. SUCH USE IS UNRESPONSIVE TO TREATMENT INTERVENTIONS, DOSE ADJUSTMENTS AND INCREASING SANCTIONS

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**DIMENSION 2**  
**BIOMEDICAL CONDITIONS AND COMPLICATION**

**RISK RATING – 0**

**THE INDIVIDUAL IS FULLY FUNCTIONING AND  
DEMONSTRATES GOOD ABILITY TO COPE WITH PHYSICAL  
DISCOMFORT**

**OR**

**THERE ARE NO SIGNS OR BIOMEDICAL SIGNS OR  
SYMPTOMS PRESENT OR THEY ARE STABLE**

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**DIMENSION 2**  
**BIOMEDICAL CONDITIONS AND COMPLICATION**

**RISK RATING – 1 (Level I)**

**THE INDIVIDUAL DEMONSTRATES ADEQUATE ABILITY TO  
TOLERATE AND COPE WITH PHYSICAL DISCOMFORT  
THERE ARE MILD TO MODERATE SIGNS OR SYMPTOMS WHICH  
INTERFERE (PAIN) WITH DAILY FUNCTIONING**

**RISK RATING – 2 (Level I or II)**

**THE INDIVIDUAL HAS SOME DIFFICULTY TOLERATING AND  
COPING WITH PHYSICAL PROBLEMS OR HAS OTHER  
BIOMEDICAL PROBLEMS. THESE PROBLEMS MAY INTERFERE  
WITH RECOVERY AND MENTAL HEALTH TREATMENT. THE  
INDIVIDUAL NEGLECTS CARE OF SERIOUS PROBLEMS. (SUCH AS:  
ACUTE EPISODES OF CHRONIC PAIN, MALNUTRITION, ETC.)**

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**DIMENSION 2**  
**BIOMEDICAL CONDITIONS AND COMPLICATION**

**RISK RATING – 3 (Level III.7)**

**THE INDIVIDUAL DEMONSTRATES POOR ABILITY TO TOLERATE AND COPE WITH PHYSICAL PROBLEMS – GENERAL HEALTH IS POOR. THERE ARE SERIOUS MEDICAL PROBLEMS WHICH ARE NEGLECTED DURING OUTPATIENT TREATMENT. SEVERE MEDICAL PROBLEMS (SEVERE PAIN NEEDING MEDICATION OR DIABETES) ARE PRESENT BUT STABLE**

**RISK RATING - 4 (Level IV)**

**THE INDIVIDUAL IS INCAPACITATED, WITH SEVERE MEDICAL PROBLEMS (EXTREME PAIN, UNCONTROLLED DIABETES, GI BLEEDING, ETC.)**

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**IDENTIFYING RISK**  
**IN DIMENSION 3**  
**USING RISK DOMAINS**

- 1. DANGEROUSNESS/LETHALITY**
- 2. INTERFERENCE WITH ADDICTION RECOVERY EFFORTS**
- 3. SOCIAL FUNCTIONING**
- 4. ABILITY FOR SELF CARE**
- 5. COURSE OF ILLNESS**

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## **DEFINITIONS OF RISK DOMAINS**

### **DANGEROUSNESS/LETHALITY:**

HOW SEVERE IS THE INDIVIDUAL'S IMPULSIVITY RELATED TO SUICIDAL/HOMICIDAL BEHAVIORS, INCLUDING OTHER FORMS OF HARM TO SELF AND OTHERS?

### **INTERFERENCE WITH ADDICTION RECOVERY:**

TO WHAT DEGREE IS THE INDIVIDUAL ABLE TO FOCUS ON RECOVERY WITHOUT DISTRACTION?

### **SOCIAL FUNCTIONING:**

TO WHAT DEGREE DOES THE INDIVIDUAL'S SUBSTANCE USE OR OTHER MENTAL HEALTH PROBLEMS INTERFERE WITH IMPORTANT RELATIONSHIPS IN HIS/HER LIFE?

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## **DEFINITIONS OF RISK DOMAINS, CONT.**

### **SELF CARE ABILITY:**

TO WHAT DEGREE CAN THE INDIVIDUAL PERFORM THE DAILY TASKS OF CARING FOR SELF WITHOUT INTERFERENCE OF MENTAL ILLNESS OR SUBSTANCE USE SYMPTOMS?

### **COURSE OF ILLNESS:**

DOES THE INDIVIDUALS MH/SA HISTORY EFFECT HIS/HER CURRENT ISSUES AND WHAT SERVICES MAY BE NEEDED BASED ON PAST HISTORY?

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# Handout 7

## Risk Domains for Dimension 3 Emotional, Behavioral, or Cognitive Conditions and Complications

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### *DIMENSION 3* *EMOTIONAL, BEHAVIORAL OR COGNITIVE* *CONDITIONS AND COMPLICATIONS*

#### RISK RATING – 0

THE INDIVIDUAL HAS EITHER NO MENTAL  
HEALTH PROBLEMS OR HAS A DIAGNOSED BUT  
STABLE MENTAL HEALTH DISORDER

\*\*\*THERE IS FULL FUNCTIONING IN ALL 5  
RISK DOMAINS

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**DIMENSION 3**  
**EMOTIONAL, BEHAVIORAL OR COGNITIVE**  
**CONDITIONS AND COMPLICATIONS**

RISK RATING – 1 (Level I)

THE INDIVIDUAL HAS A DIAGNOSED MENTAL DISORDER THAT REQUIRES INTERVENTION, BUT DOES SIGNIFICANTLY INTERFERE WITH SUBSTANCE ABUSE TREATMENT

RISK RATING – 2 (Level II.1 or Level II.5)

1. LEVEL OF IMPAIRMENT IS ONLY PROBLEMATIC DURING ACUTE IMPAIRMENT EPISODES
2. THE INDIVIDUAL HAS A PERSISTENT MENTAL ILLNESS WITH SYMPTOMS AND DISABILITY THAT CAUSE SIGNIFICANT INTERFERENCE WITH SUBSTANCE ABUSE TREATMENT, BUT DOES NOT PREVENT INDEPENDENT FUNCTIONING OR POSE SAFETY ISSUES (BASE LINE)

\*\*\* REMEMBER TO ASSESS THE 5 RISK DOMAINS

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**DIMENSION 3**  
**EMOTIONAL, BEHAVIORAL OR COGNITIVE**  
**CONDITIONS AND COMPLICATIONS**

RISK RATING – 3 (Level III.5 or Level III.7)

1. LEVEL OF IMPAIRMENT IS ONLY PROBLEMATIC DURING ACUTE IMPAIRMENT EPISODES
2. THE INDIVIDUAL HAS SEVERE PSYCHIATRIC SYMPTOMS, DISABILITY AND IMPULSIVITY, BUT HAS SUFFICIENT CONTROL AND DOES NOT REQUIRE INVOLUNTARY COMMITMENT (BASE LINE)

RISK RATING - 4 (Level IV)

THE INDIVIDUAL HAS SEVERE PSYCHIATRIC SYMPTOMATOLOGY, DISABILITY AND IMPULSIVITY, THAT REQUIRES INVOLUNTARY CONFINEMENT

\*\*\* REMEMBER TO ASSESS THE 5 RISK DOMAINS

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**DIMENSION 3**  
**EMOTIONAL, BEHAVIORAL OR COGNITIVE**  
**CONDITIONS AND COMPLICATIONS**

**IF THERE IS A RISK RATING OF 2 OR ABOVE IN DIMENSION 3, CONTINUE TO ASSESS BOTH MENTAL HEALTH AND SUBSTANCE ABUSE RISK IN DIMENSIONS 4, 5 AND 6**

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**DIMENSION 4**  
**READINESS TO CHANGE**

**RISK RATING – 0**

**1. SUBSTANCE ABUSE**

**THE INDIVIDUAL IS WILLING TO ENGAGE IN THE TREATMENT PROCESS AND IS COMMITTED TO CHANGE THEIR ALCOHOL/DRUG USE**

**2. MENTAL DISORDERS**

**THE INDIVIDUAL IS WILLING ENGAGED IN THE TREATMENT, AND IS COMMITTED TO CHANGE THEIR MENTAL FUNCTIONING AND BEHAVIOR**

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**DIMENSION 4**  
**READINESS TO CHANGE**

**RISK RATING – 1 (Level I)**

**1. SUBSTANCE ABUSE**

THE INDIVIDUAL IS WILLING TO ENTER TREATMENT AND TO EXPLORE STRATEGIES FOR CHANGING SUBSTANCE USE, BUT IS AMBIVALENT ABOUT THE NEED FOR CHANGE

**2. MENTAL DISORDERS**

THE INDIVIDUAL IS WILLING TO ENTER TREATMENT AND TO EXPLORE STRATEGIES FOR CHANGING MENTAL FUNCTIONING, BUT IS AMBIVALENT ABOUT THE NEED FOR CHANGE

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**DIMENSION 4**  
**READINESS TO CHANGE**

**RISK RATING – 2 (Level I w/ Motivational Enhancement and Level II Case Management)**

**1. SUBSTANCE ABUSE**

THE INDIVIDUAL IS RELUCTANT TO AGREE TO SUBSTANCE USE TREATMENT, IS ABLE TO RECOGNIZE THE CONSEQUENCES, BUT HAS A LOW COMMITMENT TO CHANGE SUBSTANCE USE. THE INDIVIDUAL IS ASSESSED WITH LOW READINESS TO CHANGE AND IS PASSIVELY INVOLVED IN TREATMENT.

**2. MENTAL DISORDERS**

THE INDIVIDUAL IS RELUCTANT TO AGREE TO TREATMENT, IS ABLE TO RECOGNIZE THE NEGATIVE CONSEQUENCES OF PRESENTING MENTAL; HEALTH PROBLEMS, BUT HAS A LOW COMMITMENT THERAPY. THE INDIVIDUAL IS ASSESSED WITH LOW READINESS TO CHANGE AND IS PASSIVELY INVOLVED IN TREATMENT.

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## **DIMENSION 4** **READINESS TO CHANGE**

**RISK RATING – 3 (Level I or II w/ Case Management)**

### **1. SUBSTANCE ABUSE**

**THE INDIVIDUAL IS INCONSISTENT WITH FOLLOW-THROUGH AND SHOWS MINIMAL AWARENESS OF THE SUBSTANCE USE DISORDER, AND THE NEED FOR TREATMENT. IS UNAWARE OF THE NEED TO CHANGE, AND IS UNWILLING OR ONLY PARTIALLY ABLE TO FOLLOW THROUGH WITH TREATMENT**

### **2. MENTAL DISORDERS**

**THE INDIVIDUAL IS INCONSISTENT WITH FOLLOW-THROUGH AND SHOWS MINIMAL AWARENESS OF THE MENTAL DISORDER, AND THE NEED FOR TREATMENT. IS UNAWARE OF THE NEED TO CHANGE, AND IS UNWILLING OR ONLY PARTIALLY ABLE TO FOLLOW THROUGH WITH TREATMENT**

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## **DIMENSION 4** **READINESS TO CHANGE**

**RISK RATING – 4a (NO IMMEDIATE ACTION REQUIRED)**  
**(Level I for Motivation + II.1 Case Management)**

### **1. SUBSTANCE ABUSE**

**THE INDIVIDUAL IS UNABLE TO FOLLOW-THROUGH, HAS LITTLE OR NO AWARENESS OF THE SUBSTANCE USE PROBLEMS, AND ANY NEGATIVE CONSEQUENCES, KNOWS VERY LITTLE ABOUT ADDICTION, AND SEES NO CONNECTION BETWEEN THE TWO. THE INDIVIDUAL IS IN NO IMMEDIATE DANGER, NOR ARE THEY UNABLE TO CARE FOR SELF. DENIAL IS PRESENT.**

### **2. MENTAL DISORDERS**

**THE INDIVIDUAL IS UNABLE TO FOLLOW-THROUGH, HAS LITTLE OR NO AWARENESS OF THEIR MENTAL DISORDER, AND ANY NEGATIVE CONSEQUENCES, KNOWS VERY LITTLE ADDICTION, AND SEES NO CONNECTION BETWEEN THE TWO. THE INDIVIDUAL IS IN NO IMMEDIATE DANGER, NOR ARE THEY UNABLE TO CARE FOR SELF. DENIAL IS PRESENT.**

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**DIMENSION 4**  
**READINESS TO CHANGE**

**RATING – 4b (IMMEDIATE ACTION REQUIRED) (Level IV, III.3 or III.7)**

**1. SUBSTANCE ABUSE**

**THE INDIVIDUAL IS UNABLE TO FOLLOW-THROUGH WITH TREATMENT RECOMMENDATIONS. THE INDIVIDUAL IS UNABLE TO FUNCTION INDEPENDENTLY, AND IS UNABLE TO CARE FOR SELF. THERE IS DANGER TO SELF OR OTHERS DO TO CONTINUED USE – THEY CAN BECOME VIOLENT OR SUICIDAL OR DRIVE UNDER THE INFLUENCE.**

**2. MENTAL DISORDERS**

**THE INDIVIDUAL IS UNABLE TO FOLLOW-THROUGH WITH TREATMENT RECOMMENDATIONS. THEIR BEHAVIOR REPRESENTS AN IMMINENT DANGER OF HARM TO SELF OR OTHERS. THE INDIVIDUAL IS UNABLE TO FUNCTION INDEPENDENTLY AND IS UNABLE TO CARE FOR SELF. THE INDIVIDUAL REFUSES TO TAKE MEDICATIONS, AND IS OVERTLY PSYCHOTIC, AND IMPULSE CONTROL IS SEVERELY IMPAIRED**

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**DIMENSION 5**  
**RELAPSE/CONTINUED USE**  
**CONTINUED PROBLEM POTENTIAL**

**RISK RATING – 0**

**1. SUBSTANCE ABUSE**

**THE INDIVIDUAL HAS NO POTENTIAL FOR FURTHER SUBSTANCE USE, OR HAS LOW RELAPSE POTENTIAL AND GOOD COPING SKILLS**

**2. MENTAL DISORDERS**

**THE INDIVIDUAL HAS NO POTENTIAL FOR FURTHER MENTAL HEALTH PROBLEMS, OR HAS LOW POTENTIAL AND GOOD COPING SKILLS**

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**DIMENSION 5**  
**RELAPSE/CONTINUED USE**  
**CONTINUED PROBLEM POTENTIAL**

**RISK RATING – 1 (Level I)**

**1. SUBSTANCE ABUSE**

**THE INDIVIDUAL HAS MINIMAL RELAPSE POTENTIAL, WITH SOME VULNERABILITY, AND HAS FAIR SELF-MANAGEMENT AND RELAPSE PREVENTION SKILLS**

**2. MENTAL DISORDERS**

**THE INDIVIDUAL HAS MINIMAL RELAPSE POTENTIAL, WITH SOME VULNERABILITY, AND HAS FAIR SELF-MANAGEMENT AND RELAPSE PREVENTION SKILLS**

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**DIMENSION 5**  
**RELAPSE/CONTINUED USE**  
**CONTINUED PROBLEM POTENTIAL**

**RISK RATING – 2 (Level II.1 or II.5)**

**1. SUBSTANCE ABUSE**

**THE INDIVIDUAL HAS IMPAIRED RECOGNITION AND UNDERSTANDING OF SUBSTANCE USE RELAPSE ISSUES, BUT IS ABLE TO SELF-MANAGE WITH PROMPTING**

**2. MENTAL DISORDERS**

**THE INDIVIDUAL HAS IMPAIRED RECOGNITION AND UNDERSTANDING OF MENTAL ILLNESS RELAPSE ISSUES, BUT IS ABLE TO SELF-MANAGE WITH PROMPTING**

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**DIMENSION 5**  
**RELAPSE/CONTINUED USE**  
**CONTINUED PROBLEM POTENTIAL**

**RISK RATING – 3 (Level II.5 or III.1)**

**1. SUBSTANCE ABUSE**

**THE INDIVIDUAL HAS LITTLE RECOGNITION AND UNDERSTANDING OF SUBSTANCE USE RELAPSE ISSUES, AND HAS POOR SKILLS TO COPE WITH AND INTERRUPT ADDICTION PROBLEMS, OR TO AVOID OR LIMIT RELAPSE**

**2. MENTAL DISORDERS**

**THE INDIVIDUAL HAS LITTLE RECOGNITION AND UNDERSTANDING OF MENTAL ILLNESS RELAPSE ISSUES, AND HAS POOR SKILLS TO COPE WITH AND INTERRUPT MENTAL HEALTH PROBLEMS, OR TO AVOID OR LIMIT RELAPSE**

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**DIMENSION 5**  
**RELAPSE/CONTINUED USE**  
**CONTINUED PROBLEM POTENTIAL**

**RISK RATING – 4a (NO IMMEDIATE ACTION REQUIRED)**  
(Level 1-3 Depending on Degree of Danger)

**1. SUBSTANCE ABUSE**

**THE INDIVIDUAL HAS HAD REPEATED TREATMENT WITH LITTLE POSITIVE EFFECT ON FUNCTIONING. THERE ARE NO SKILLS TO COPE WITH AND INTERRUPT ADDICTION PROBLEMS, OR TO PREVENT OR LIMIT RELAPSE. THERE IS NO DANGER TO SELF AND THERE IS THE ABILITY TO CARE FOR SELF (THERE HAS BEEN REPEATED DETOX ATTEMPTS BUT IS UNABLE TO COPE WITH CRAVINGS).**

**2. MENTAL DISORDERS**

**THE INDIVIDUAL HAS HAD REPEATED TREATMENT WITH LITTLE POSITIVE EFFECT ON FUNCTIONING. THERE ARE NO SKILLS TO COPE WITH AND INTERRUPT MENTAL HEALTH PROBLEMS, OR TO PREVENT OR LIMIT RELAPSE. THERE IS NO DANGER TO SELF AND THERE IS THE ABILITY TO CARE FOR SELF (THE INDIVIDUAL IS SEVERELY AND PERSISTENTLY MENTALLY ILL WITH CHRONIC DYSFUNCTION AND INABILITY TO ARREST PSYCHOTIC EPISODES).**

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**DIMENSION 5**  
**RELAPSE/CONTINUED USE**  
**CONTINUED PROBLEM POTENTIAL**

**RISK RATING – 4b** (IMMEDIATE ACTION REQUIRED)  
(Level IV)

**1. SUBSTANCE ABUSE**

THE INDIVIDUAL HAS NO SKILLS TO ARREST THE ADDICTIVE DISORDER, OR TO PREVENT RELAPSE TO SUBSTANCE USE. CONTINUED USE/BEHAVIOR PLACES THE INDIVIDUAL AND/OR OTHERS IN IMMINENT DANGER (THE CONTINUED DRUG USE LEADS TO IMPULSIVE, PSYCHOTIC AND AGGRESSIVE BEHAVIOR).

**2. MENTAL DISORDERS**

THE INDIVIDUAL HAS NO SKILLS TO ARREST THE MENTAL ILLNESS, OR TO PREVENT RELAPSE TO MENTAL HEALTH PROBLEMS. CONTINUED PSYCHIATRIC DISORDERS PLACES THE INDIVIDUAL AND/OR OTHERS IN IMMINENT DANGER (INDIVIDUALS WITH DEPRESSION AND FEELINGS OF HOPELESSNESS, CAUSE STRONG IMPULSES TO SLASH THEIR WRISTS, OR INDIVIDUALS WITH PARANOID DELUSIONS WITH COMMAND HALLUCINATIONS TO HARM OTHERS).

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**DIMENSION 6**  
**RECOVERY ENVIRONMENT**

**RISK RATING – 0**

**1. SUBSTANCE ABUSE**

THE INDIVIDUAL HAS A SUPPORTIVE ENVIRONMENT OR IS ABLE TO COPE WITH POOR SUPPORTS

**2. MENTAL DISORDERS**

THE INDIVIDUAL HAS A SUPPORTIVE ENVIRONMENT OR IS ABLE TO COPE WITH POOR SUPPORTS

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## **DIMENSION 6** **RECOVERY ENVIRONMENT**

### **RATING – 1 (Level I)**

#### **1. SUBSTANCE ABUSE**

THE INDIVIDUAL HAS A PASSIVE SUPPORT SYSTEM OR SIGNIFICANT  
OTHERS ARE NOT INTERESTED IN THEIR RECOVERY, BUT THE SITUATION IS  
NOT TO DISTRACTING, AND THEY ARE ABLE TO COPE

#### **2. MENTAL DISORDERS**

THE INDIVIDUAL HAS A PASSIVE SUPPORT SYSTEM OR SIGNIFICANT  
OTHERS ARE NOT INTERESTED IN THEIR RECOVERY, BUT THE SITUATION IS NOT  
TO DISTRACTING, AND THEY ARE ABLE TO COPE

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## **DIMENSION 6** **RECOVERY ENVIRONMENT**

### **RISK RATING – 2 (Level II.1, II.5, III.1)**

#### **1. SUBSTANCE ABUSE**

THE INDIVIDUAL'S ENVIRONMENT IS NOT SUPPORTIVE OF  
ADDICTION RECOVERY, BUT WITH CLINICAL STRUCTURE  
THEY ARE ABLE TO COPE MOST OF THE TIME

#### **2. MENTAL DISORDERS**

THE INDIVIDUAL'S ENVIRONMENT IS NOT SUPPORTIVE OF  
GOOD MENTAL HEALTH, BUT WITH CLINICAL STRUCTURE  
THEY ARE ABLE TO COPE MOST OF THE TIME

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**DIMENSION 6**  
**RECOVERY ENVIRONMENT**

**RISK RATING – 3 (Level II.1 or II.5 with III.1)**

**1. SUBSTANCE ABUSE**

THE INDIVIDUAL'S ENVIRONMENT IS NOT SUPPORTIVE OF ADDICTION RECOVERY, AND THEY FIND COPING DIFFICULT EVEN WITH CLINICAL STRUCTURE

**2. MENTAL DISORDERS**

THE INDIVIDUAL'S ENVIRONMENT IS NOT SUPPORTIVE OF GOOD MENTAL HEALTH, AND THEY FIND COPING DIFFICULT EVEN WITH CLINICAL STRUCTURE

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**DIMENSION 6**  
**RECOVERY ENVIRONMENT**

**RISK RATING – 4a (NO IMMEDIATE ACTION REQUIRED)**  
(Level III.1)

**1. SUBSTANCE ABUSE**

THE INDIVIDUALS ENVIRONMENT IS NOT SUPPORTIVE AND IS CHRONICALLY HOSTILE AND TOXIC TO ADDICTION RECOVERY OR TREATMENT PROGRESS (THE INDIVIDUAL HAS MANY USING FRIENDS, DRUGS ARE READILY AVAILABLE IN THE HOME ENVIRONMENT, ETC.) THE INDIVIDUAL IS UNABLE TO COPE WITH THE NEGATIVE EFFECTIVES OF THIS ENVIRONMENT ON RECOVERY.

**2. MENTAL DISORDERS**

THE INDIVIDUALS ENVIRONMENT IS NOT SUPPORTIVE AND IS CHRONICALLY HOSTILE AND TOXIC TO GOOD MENTAL HEALTH (THE INDIVIDUAL IS HOMELESS AND UNEMPLOYED AND HAS CHRONIC LIFESTYLE PROBLEMS BUT NOT ACUTE CONDITIONS). THE INDIVIDUAL IS UNABLE TO COPE WITH THE NEGATIVE EFFECTIVES OF THIS ENVIRONMENT ON RECOVERY.

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## **DIMENSION 6** **RECOVERY ENVIRONMENT**

**RISK RATING – 4b** (IMMEDIATE ACTION REQUIRED)  
(Level III.1, III.3, III.5)

### **1. SUBSTANCE ABUSE**

IS THE INDIVIDUAL'S ENVIRONMENT NOT SUPPORTIVE AND IS ACTIVELY HOSTILE AND TOXIC TO ADDICTION RECOVERY, POSING AN IMMEDIATE THREAT TO THE INDIVIDUAL'S SAFETY AND WELL BEING (THE INDIVIDUAL LIVES WITH A DRUG DEALER WHO OFFERS DRUGS TO THE INDIVIDUAL DAILY)

### **2. MENTAL DISORDERS**

THE INDIVIDUAL'S ENVIRONMENT IS NOT SUPPORTIVE AND IS ACTIVELY HOSTILE AND TOXIC TO A SAFE MENTAL HEALTH ENVIRONMENT, POSING AN IMMEDIATE THREAT TO THE INDIVIDUAL'S SAFETY AND WELL BEING (THE INDIVIDUAL LIVES WITH A PHYSICALLY ABUSIVE ALCOHOL PARTNER)

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## **Handout 8**

### **ASAM PPC-2R Risk Rating Crosswalk**

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# **REFERENCES**

## **ASAM PPC-2R**

ASAM Placement Criteria  
for the Treatment  
of Substance-Related Disorders  
Second Edition-Revised  
David Mee-Lee, M.D. Editor

Applying ASAM Placement Criteria (video)  
David Mee-Lee, M.D.  
The Clinical Innovators Series

## **ADDICTION TREATMENT MATCHING:**

Research Foundations of the American Society of Addiction  
Medicine (ASAM) Criteria  
David R. Gastfriend, MD, Editor